

# PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference <b>P-6056.70</b>	<b>FOR FURTHER ACTION</b>	See item 4 below
International application No. <b>PCT/US2005/000269</b>	International filing date ( <i>day/month/year</i> ) <b>06 January 2005 (06.01.2005)</b>	Priority date ( <i>day/month/year</i> ) <b>13 January 2004 (13.01.2004)</b>
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237		
Applicant <b>BECTON, DICKINSON AND COMPANY</b>		

1. This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 bis.1(a).
2. This REPORT consists of a total of 4 sheets, including this cover sheet.  
  
In the attached sheets, any reference to the written opinion of the International Searching Authority should be read as a reference to the international preliminary report on patentability (Chapter I) instead.

3. This report contains indications relating to the following items:

- |                                     |              |   |
|-------------------------------------|--------------|---|
| <input checked="" type="checkbox"/> | Box No. I    | Basis of the report   |
| <input type="checkbox"/>            | Box No. II   | Priority  |
| <input type="checkbox"/>            | Box No. III  | Non-establishment of opinion with regard to novelty, inventive step and industrial applicability  |
| <input type="checkbox"/>            | Box No. IV   | Lack of unity of invention  |
| <input checked="" type="checkbox"/> | Box No. V    | Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement |
| <input type="checkbox"/>            | Box No. VI   | Certain documents cited   |
| <input type="checkbox"/>            | Box No. VII  | Certain defects in the international application  |
| <input type="checkbox"/>            | Box No. VIII | Certain observations on the international application   |

4. The International Bureau will communicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but not, except where the applicant makes an express request under Article 23(2), before the expiration of 30 months from the priority date (Rule 44bis .2).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No. +41 22 338 82 70	Date of issuance of this report <b>17 July 2006 (17.07.2006)</b>  Authorized officer  <div style="text-align: center; font-weight: bold; font-size: 1.2em;">Nora Lindner</div>  e-mail: pt02@wipo.int
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# PATENT COOPERATION TREATY

From the  
INTERNATIONAL SEARCHING AUTHORITY

To:  
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## PCT

REC'D 29 JUL 2005

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### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

(PCT Rule 43bis.1)

Applicant's or agent's file reference <b>P-6056.70</b>		Date of mailing (day/month/year) <b>27 JUL 2005</b> <b>FOR FURTHER ACTION</b> See paragraph 2 below
International application No. <b>PCT/US05/00269</b>	International filing date (day/month/year) <b>06 January 2005 (06.01.2005)</b>	Priority date (day/month/year) <b>13 January 2004 (13.01.2004)</b>
International Patent Classification (IPC) or both national classification and IPC <b>IPC(7): G01N 33/48 and US Cl.: 702/19</b>		
Applicant <b>BECTON, DICKINSON AND COMPANY</b>		

1. This opinion contains indications relating to the following items:

- ☒ Box No. I      Basis of the opinion
- ☐ Box No. II      Priority
- ☐ Box No. III      Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- ☐ Box No. IV      Lack of unity of invention
- ☒ Box No. V      Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- ☐ Box No. VI      Certain documents cited
- ☐ Box No. VII      Certain defects in the international application
- ☐ Box No. VIII      Certain observations on the international application

#### 2. FURTHER ACTION

If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the mailing of Form PCT/ISA/220 or before the expiration of 22 months from the prior of  
For further options, see Form PCT/ISA/220.

3. For further details, see notes to Form PCT/ISA/220.

Name and mailing address of the ISA/ US Mail Stop PCT, Attn: ISA/US Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Facsimile No. (703) 305-3230	Authorized officer Jerry Lin Telephone No. (571) 272 1600
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Form PCT/ISA/237 (cover sheet) (January 2004)

WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US05/00269

Box No. I Basis of this opinion

1. With regard to the language, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ This opinion has been established on the basis of a translation from the original language into the following language \_\_\_\_\_, which is the language of a translation furnished for the purposes of international search (under Rules 12.3 and 23.1(b)).

2. With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:

a. type of material

☐ a sequence listing

☐ table(s) related to the sequence listing

b. format of material

☐ in written format

☐ in computer readable form

c. time of filing/furnishing

☐ contained in international application as filed.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority for the purposes of search.

3. ☐ In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.

4. Additional comments:

WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY

International application  
PCT/US05/00269

Box No. V Reasoned statement under Rule 43 bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Claims <u>NONE</u>	YES
	Claims <u>1-20</u>	NO
Inventive step (IS)	Claims <u>NONE</u>	YES
	Claims <u>1-20</u>	NO
Industrial applicability (IA)	Claims <u>1-20</u>	YES
	Claims <u>NONE</u>	NO

2. Citations and explanations:

Claims 1-20 lack novelty under PCT Article 33(2) as being anticipated by Rao (US 6,673,532 B2).

Regarding claim 1, 2, and 5-15, Rao teaches creating a statistical design that maps at least one concentration of a substance to the locations on an array and adds the substance to a receptacle in an array with the cells of interest (column 3, lines 33-39; column 4, lines 1-7; column 8, lines 1-39; column 8, line 58-column 9, line 8) and acquires data indicative of a phenotypic change and determining an optimum concentration that results in a desired phenotypic change (column 2, line 55 - column 3, line 25). Rao also teaches using multiple plates or bioreactors as well as adding a variety of substance concentrations (column 9, lines 9-34; column 9, lines 53-61; column 10, lines 65-67).

Regarding claims 3, 4 and 16-20, Rao teaches that a variety of concentrations of multiple substances may be added to each well (column 9, lines 53-61). Rao teaches that his method identifies parameters (substance concentrations) for optimizing conditions (column 16, lines 26-30).

Claims 1-20 lack an inventive step under PCT Article 33(3) as being obvious over Rao (US 6,673,532 B2).  
See above.

Claims 1-20 have industrial applicability as defined by PCT Article 33(4) for the purpose of identifying substances and their concentrations for optimizing cell culture conditions.